

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re the Application of

Alistair Andrew FINNIE

Group Art Unit: 1713

Application No.: 10/563,129

Filed: January 31, 2006

Docket No.: 126449

For: SILYL ESTER COPOLYMER COMPOSITIONS

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- Relevance of one or more non-English language reference is discussed in the present specification. See Reference 15.
- S. In accordance with 37 CFR §1.98(a)(2)(ii), copies of any U.S. patents and patent application publications are not attached.
- 4. Reference 5 corresponds to European Patent Application No. 02255612.0, which is discussed in the present specification.

Respectfully submitted,

William P. Berridge Registration No. 30,024

John W. Fitzpatrick Registration No. 41,018

WPB:JWF/crh Date: May 12, 2006

OLIFF & BERRIDGE, PLC P.O. Box 19928 Alexandria, Virginia 22320 Telephone: (703) 836-6400 DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461

Form PTO-1449 (REV. 1/06)

Examiner

Initials

Examiner Cite

#### ALL REFERENCES

COTTO	ALL REFERENCES CONSIDER	ED EXCE	PT WHE		heet <u>1</u>	of 1			
49	US Dept. of Commerce PATENT & TRADEMARK OFFICE			ATTY DOCKET NO. 126449		APPLICATION NO. 10/563,129			
INFORMA	TION DISCLOSURE STATEMENT								
(Use several sheets if necessary)			APPLICANT Alistair Andrew FINNIE						
			FILING DATE January 31, 2006		GROUP 1713				
	U	S. PATEN	T DOCUM	MENTS					
Cite No.	Document Number	Date		Name	Name				
1	5,436,284	07/25/1995		HONDA et al.					
2	4,593,055	06/03/19	86	6 GITLITZ et al.					
					-				
	FORI	EIGN PAT	ENT DOC	UMENTS					
Cite No.	Document Number	Date		Country	With English Abstract	With English Translation			
3	GB 2 311 070 A	09/17/1997		GREAT BRITAIN					
4	GB 1 457 590	12/08/1976		GREAT BRITAIN					
5	WO 2004/018533 A1	03/04/2004		WIPO					
6	WO 02/02698 A1	01/10/2002		WIPO					
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Initials	No.	Document Number	Date	Country	English Abstract	English Translation			
	3	GB 2 311 070 A	09/17/1997	GREAT BRITAIN					
	4 GB 1 457 590		12/08/1976	GREAT BRITAIN					
	5	WO 2004/018533 A1	03/04/2004	WIPO					
	6	WO 02/02698 A1	01/10/2002	WIPO					
	7	WO 00/77102 A1	12/21/2000 WIPO						
-	8	WO 00/43460	07/27/2000	WIPO					
	9	WO 99/37723	07/29/1999	WIPO					
	10	EP 0 779 304 B1	06/18/1997	EUROPE					
	11	EP 0 775 733 A1	05/28/1997	EUROPE					
	12	EP 0 529 693 B1	03/03/1993 EUROPE 11/23/1989 EUROPE						
	13	EP 0 342 276 B1		EUROPE					
	14	EP 0 297 505 B1	01/04/1989 EUROPE 01/08/2003 EUROPE 12/10/1986 EUROPE						
	15	EP 1 273 589 B1							
	16	EP 0 204 456 A1							
			OTHER DOCUME	NTS					
Examiner Initials	Cite No.								
EXAMINER	/Rot	ert Harlan/		-	DATE CONSIDERED	03/27/20			

Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609, draw line through citation if not in conformance Examiner: and not considered. Include copy of this form with next communication to applicant.

Date: May 12, 2006

## PATENT APPLICATION

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Attn: OIPE

Alistair Andrew FINNIE

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## REQUEST FOR CORRECTION OF PALM RECORDS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Attached is a photocopy of the original filing receipt on which errors have been corrected in red. These errors are being brought to the attention of the Patent and Trademark Office so that it may correct its records.

Respectfully submitte

William P. Berridge Registration No. 30,024

John W. Fitzpatrick Registration No. 41,018

WPB:JWF/crh

Date: May 12, 2006

Date: 111a) 12, 2000

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# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Ba 1450 Alexandria, Vinginia 22313-1450

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/563 120	01/31/2006	1713	1030	126449		12	2

25944 OLIFF & BERRIDGE, PLC P.O. BOX 19928 ALEXANDRIA, VA 22320



**CONFIRMATION NO. 8037** 

FILING RECEIPT
\*OC00000018389300\*

Date Mailed: 04/03/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, ILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filling Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filling Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filling Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filling Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Alistair Andrew Finnie, Whitley Bay, UNITED KINGDOM;

Whitley Bay, Tyne and Wear

Assignment For Published Patent Application

AKZO NOBEL COATINGS INTERNATIONAL B.V., BM Amhem, NETHERLANDS

Power of Attorney: The patent practitioners associated with Customer Number 25944.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/07301 06/29/2004

Foreign Applications

EUROPEAN PATENT OFFICE (EPO) 03254297.9 07/07/2003

If Required, Foreign Filing License Granted: 03/26/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/563,129

Projected Publication Date: 07/06/2006

Non-Publication Request: No

Early Publication Request: No

Title

Silvl ester copolymer compositions

Preliminary Class

562

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Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

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For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-418B).

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Title 37, Code of Federal Regulations, 5.11 & 5.15

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